

# 1 **Influence of waves on the transport and fate of outfall sediments**

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## 10 **Abstract**

11 An analysis of the effects of waves on the transport and fate of sediments from submerged outfalls  
12 in relatively shallow waters is presented. Five sewage outfalls in the coastal area of Baixada  
13 Santista, Brazil, were selected as a case study. A hydrodynamic model both with and without wave  
14 effects was implemented, and sediment discharges from the five outfalls were considered. The  
15 results from current-only and wave-current models were compared to identify differences in the  
16 transport of outfall sediments due to waves. If waves are not considered, the model simulates a  
17 continuous deposition that results in unrealistic bed sediment accumulation. Significant wave-  
18 induced resuspension was observed near the outfall diffusers, even during mild wave conditions.  
19 Under mean and strong waves, the resuspended sediment can be transported further and reach  
20 nearby coasts and channels. Overall, results indicate that coupled wave-current models can serve  
21 to better understand the fate of sediment-attached pollutants from outfalls.

22 **Keywords:** hydrodynamic modeling, marine outfall, sediment resuspension, wave-current  
23 interaction.

## 24 **1. Introduction**

25 Coastal wastewater disposal is often done by means of submerged outfalls. These are pipelines  
26 designed to discharge raw or partially treated wastewater to the seabed at a certain distance from  
27 the shoreline. At the discharge location, the outfall has a diffuser that facilitates the dilution of the  
28 effluent in seawater. The dilution process depends on several factors: wastewater flowrate, water  
29 depth, diffuser geometry and oceanic conditions such as currents, stratification, tides and  
30 turbulence (Tate, Scaturro, and Cathers 2016). The analysis and modeling of outfall plumes is  
31 generally performed considering three regions: near field; mid field; and far field. In the near field,  
32 plume dynamics is dominated by the outflow; in the far field, plume behavior is dominated by  
33 ocean currents; and the mid field is a transition zone (Morelissen, van der Kaaij, and Bleninger  
34 2013). Most of the dilution occurs in the near field, while in the far field, the plume is mainly  
35 transported by ambient currents with a much lower mixing dominated by natural processes  
36 (Roberts 1991).

37         Apart from the effects on water quality, wastewater disposal in coastal waters is known to  
38 produce sediment pollution. Sediment pollution can occur when contaminated particles are  
39 directly released into a body of water or when suspended or bed sediments absorb water  
40 contaminants (Megahan 1999). Contaminated particles may come from domestic, commercial  
41 and industrial wastewater. In particular, domestic sewage solids can have different sizes, from fine  
42 fecal and other organic particles to large organic matter and sewage litter (Ashley and Hvitved-  
43 Jacobsen 2003). In the case of combined drainage systems, raw sewage can contain solids from  
44 stormwater runoff as well. Total suspended solids in municipal wastewater are typically less than  
45 0.1% with concentrations of 120 to 400 mg/L (Metcalf & Eddy 2014), but in combined systems  
46 they can reach up to 1722 mg/L (Suárez and Puertas 2005).

47         The seabed in coastal areas receiving wastewater discharges is commonly characterized  
48 by a superficial layer of organic mud with black or gray coloration (Wasserman, Freitas-Pinto, and  
49 Amouroux 2000; Gkaragkouni et al. 2021). Elevated concentrations of different types of  
50 pollutants have been reported in sediment samples in the vicinity of marine outfalls, e.g., heavy

51 metals (Hershelman et al. 1981; Soto-Jiménez, Páez-Osuna, and Morales-Hernández 2001;  
52 Gkaragkouni et al. 2021), toxic organic contaminants (Moon et al. 2008; Akdemir and Dalgic 2021)  
53 and contaminants of emerging concern such as microplastics (Reed et al. 2018) and  
54 pharmaceutical products (Maruya et al. 2012).

55         Near-field particle deposition from outfalls jets in stagnant and flowing environments  
56 have been extensively investigated (M. J. Neves and Fernando 1995; Bleninger and Carmer 2000;  
57 Lane-Serff and Moran 2005; Cuthbertson et al. 2008; Terfous, Chiban, and Ghenaim 2016).  
58 However, transport and fate of outfall sediments in the far field have not received as much  
59 attention although it is phenomenologically understood (e.g., Herring 1980). Simplified methods  
60 have been applied to obtain estimates of deposition and resuspension of outfall particulates  
61 (Bodeen et al. 1989; Ferré, Sherwood, and Wiberg 2010; Tate, Holden, and Tate 2019). Detailed  
62 modeling has been done, e.g., by Hodgins, Hodgins, and Corbett (2000), who implemented a three-  
63 dimensional particle deposition model for sewage solids from a large submerged outfall under  
64 tidal currents. Still, most modeling efforts focus on analyzing the wastewater plume with little or  
65 no detail on the solid fraction of the plume (e.g., Pritchard, Savidge, and Elsässer 2013; Uchiyama  
66 et al. 2014; Falkenberg et al. 2016; Veríssimo and Martins 2016; Roberts and Villegas 2017;  
67 Ostoich et al. 2018; Mrša Haber et al. 2020; Birocchi et al. 2021). On the other hand, coastal  
68 processes such as internal or surface waves can resuspend the solid particles, which then undergo  
69 further transport by currents along the shelf (Lee, Noble, and Xu 2003). In particular, in shallow  
70 waters, the combined action of surface waves and currents may generate frequent events of  
71 resuspension that can release dissolved metals and nutrients (Kalnejais, Martin, and Bothner  
72 2010). Also, sediment resuspension can act as a bacterial input mechanism for the overlying water  
73 column (Gao, Falconer, and Lin 2013).

74         Although the influence of internal waves on outfall sediment resuspension has been  
75 studied before (Tate, Holden, and Tate 2019), surface waves have only been pointed out as a  
76 potentially relevant process with no detailed studies on the matter (Wu, Washburn, and Jones  
77 1991; Lee, Noble, and Xu 2003; R. Neves 2006; Bleninger 2006). To the knowledge of the authors,

78 no detailed research has been done on assessing the relative importance of surface waves in far-  
79 field modeling of submerged outfalls. Only a few academic studies have included waves into the  
80 hydrodynamic modeling of outfalls (Inan 2019; Kim et al. 2021); however, they are neither  
81 concerned with assessing the effects of waves nor do they include sediment transport. Given the  
82 lack of studies on the relevance of waves in far-field outfall models, their inclusion in academic or  
83 engineering studies is almost discretionary. In this regard, the present study aims to make an  
84 initial attempt to assess the relative importance of waves and wave-current interactions for far-  
85 field modeling of submerged outfalls.

86         Considering that waves may have significant effects on outfall sediment transport, an  
87 ensemble of five submerged outfalls in the metropolitan area of Baixada Santista in São Paulo  
88 State, Brazil, was selected as a case study. There is one outfall in the Santos municipality, another  
89 in Guarujá and three in Praia Grande (PG1, PG2 and PG3). These outfalls discharge sewage at  
90 shallow depths (<15 m) where surface waves may play a significant role in the resuspension of  
91 effluent sediment. In Baixada Santista, bed sediment quality is of concern. A recent report by the  
92 Environmental Agency of São Paulo State (CETESB 2022), showed elevated concentrations of total  
93 organic carbon, Kjeldahl nitrogen, phosphorus and *Clostridium perfringens* bacteria in sediments  
94 from the influence area of the PG1 outfall, as well as elevated concentrations of thermotolerant  
95 coliforms and *C. perfringens* in sediments near the discharge locations of the Santos and Guarujá  
96 outfalls, respectively. Several authors have found high toxicity to benthic amphipods in sediment  
97 samples in the vicinity of the Santos outfall diffuser (Abessa et al. 2005; Cesar et al. 2006; Abessa  
98 et al. 2008; Sousa et al. 2014; Vacchi et al. 2019). Vacchi et al. (2019) demonstrated that the  
99 toxicity is related to organic contaminants absorbed by the sediment particles. Furthermore,  
100 recent studies have found high levels of contaminants of emerging concern in sediments in the  
101 vicinity of the outfalls discharge locations. For example, endocrine disrupting chemicals for  
102 outfalls of Santos, Guarujá, PG1 and PG2 (Santos et al. 2018), and rhodium for Santos (Berbel et  
103 al. 2021).

104 Direct measurements of outfall sediment transport could provide a better understanding  
105 of the influence of the outfalls on sediment quality. However, in the absence of direct field  
106 measurements, a numerical model can provide major insights on outfall sediment transport.  
107 Consequently, the present study is concerned with the transport and fate of sediment from the  
108 five submerged outfalls in Baixada Santista from a modeling perspective. Since the outfalls  
109 discharge their effluents in relatively shallow waters exposed to the open ocean, the use of a  
110 coupled wave-current hydrodynamic model is proposed. The objective of the study is to assess  
111 the relative importance of waves and the combined action of waves and currents for far-field  
112 modeling of submerged outfall sediments. Hydrodynamic and wave propagation models for the  
113 coastal area of Baixada Santista were implemented using the Delft3D modeling suite (Deltares  
114 2020a; 2020b). These models were calibrated and validated using field data such as water level  
115 and wave buoy measurements. Sediment transport was implemented only for the outfall effluents,  
116 so other sources of sediment were not included, e.g., streams, longshore drift, surface runoff. In  
117 order to assess the effects of wave-current interaction on sediment transport and fate, the results  
118 of standalone hydrodynamic models were compared with coupled wave-current models for mild,  
119 mean and strong wave regimes. The focus was on sediment resuspension events, and special  
120 attention was given to wave conditions that produced or enhanced the phenomenon.

## 121 **2. Materials and Methods**

### 122 **2.1. Site description**

123 Baixada Santista is a metropolitan area located in the coastal region of São Paulo State, Brazil. It  
124 comprises nine municipalities and is served by five submerged wastewater outfalls operated by  
125 the Sanitation Company of São Paulo State (Sabesp). There is one outfall in the Santos  
126 municipality, another in Guarujá and three in Praia Grande (see Figure 1b). The Santos outfall  
127 consists of a concrete-covered steel pipe that discharges wastewater from the Santos and São  
128 Vicente municipalities into the Santos Bay. Outfalls of Guarujá and Praia Grande discharge directly  
129 to the Atlantic Ocean through high-density polyethylene pipes.

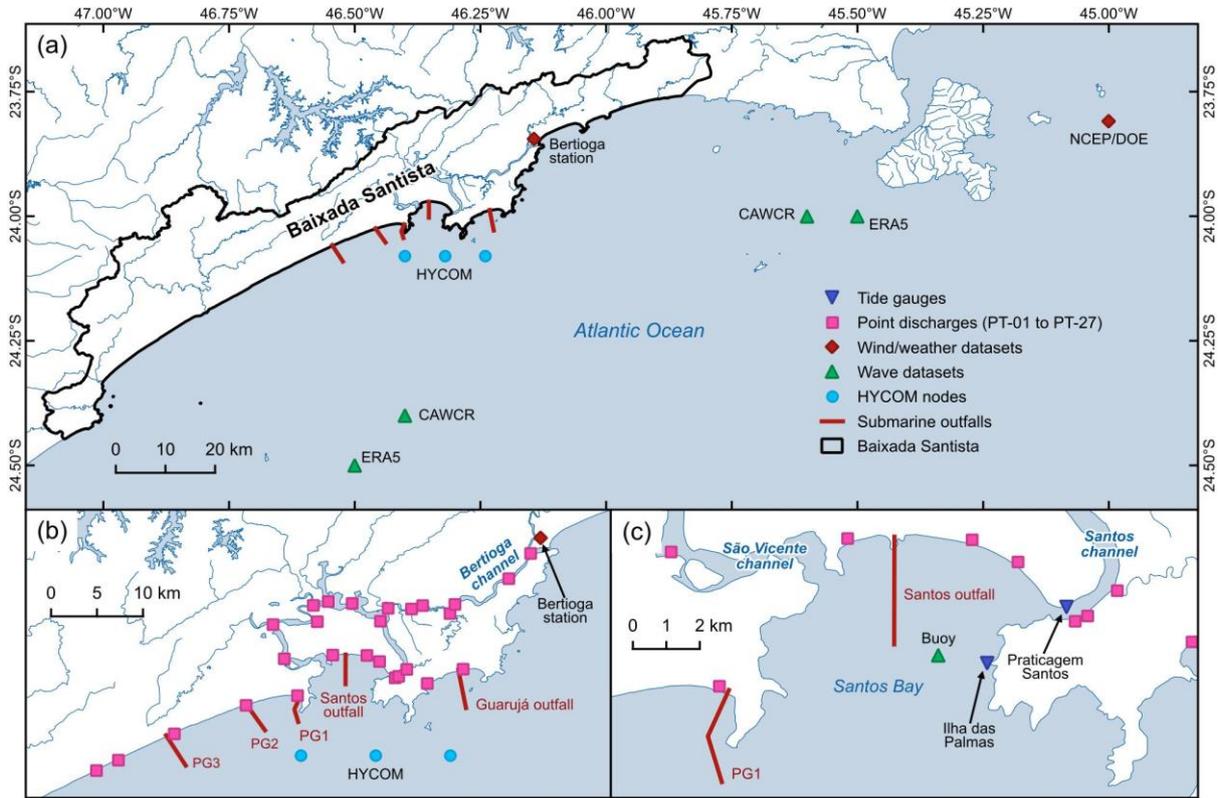


Figure 1: Location of the study area and points of interest.

130            Until 2019, the effluent of Santos outfall had primary treatment with 1.5 mm screening  
 131 and disinfection. Up to that year, the effluent of outfalls Guarujá and PG3 also received primary  
 132 treatment, while effluents of outfalls PG1 and PG2 only received preliminary treatment. As of  
 133 2020, several engineering efforts and operational improvements have been made (e.g., primary  
 134 treatment for all outfalls and outfall length extensions for PG1 and PG2). General characteristics  
 135 for 2019 of the five outfalls are summarized in Table 1. It is worth noting that for the studied time  
 136 periods, outfall discharges did not reach the maximum design values.

Table 1: Characteristics of the submerged outfalls in Baixada Santista (data for 2019).

Outfall	Length (m)	Diameter (m)	Depth (m)	Design discharge (m <sup>3</sup> /s)	Reynolds number	Densimetric Froude number	Inclination
Santos	4425	1.75	11.5	5.30	$3.88 \times 10^5$	22.6	Horizontal
Guarujá	4500	0.90	14.0	1.45	$9.55 \times 10^4$	18.0	Horizontal
PG1	4000	1.00	14.0	1.20	$9.55 \times 10^4$	18.0	Horizontal
PG2	4000	1.00	14.0	1.20	$9.88 \times 10^4$	14.1	Horizontal
PG3	4095	1.00	13.0	0.78	$2.05 \times 10^5$	29.4	Horizontal

137           Baixada Santista is located on a coastal plain delimited by the Serra do Mar mountain  
138 system and the Atlantic Ocean. One of the most prominent morphological features along its  
139 shoreline is the Santos estuarine system, which comprises the Santos Bay and the estuarine  
140 channels of São Vicente, Bertioga and Santos (Figure 1b,c). Santos Bay is a semi-sheltered and  
141 shallow bay (depths between 5 m and 15 m). The study area presents a mainly semidiurnal tide  
142 with diurnal inequalities (Schettini et al. 2019). Inside the bay, spring and neap tides have  
143 amplitudes of about 0.6 m and 0.14 m, respectively (Harari, França, and Camargo 2008). Also, the  
144 region is under the influence of cold fronts about every two weeks (Escobar, Reboita, and Souza  
145 2019) that, each, generate strong winds for nearly two consecutive days (Stech and Lorenzetti  
146 1992).

147           Tides are of great importance for eddy diffusivity and vertical mixing inside Santos Bay.  
148 Salinity measurements during neap and spring tides show that the estuary is weakly stratified  
149 near its head and at the entrance of the channels (Harari, França, and Camargo 2008). Other  
150 studies have found that Santos Bay and its outer coastal area are well mixed during spring tides  
151 (Belém et al. 2007). Furthermore, suspended solids concentrations are of the order of  $10^{-2}$  kg/m<sup>3</sup>  
152 and can be considered horizontally and vertically homogeneous in most of the bay, showing no  
153 significant influence of spring and neap tides (Berzin 1992).

154           Most of the year, waves approach the continental shelf from south, with heights of 1 m to  
155 3 m and periods of 10 s to 12 s, and the highest waves usually come from the southwest, reaching  
156 up to 6.3 m (Pianca, Mazzini, and Siegle 2010). The dominant waves get refracted toward Baixada  
157 Santista, arriving rather from the southeast as seen in the wave rose plot of Figure 2. As it is typical  
158 in the southern and southeastern Brazilian coast, the region is characterized by multi-modal sea  
159 states consisting of a locally generated wind wave system and two or more swells propagating  
160 from distant fetches (Violante-Carvalho et al. 2001; Innocentini, Caetano, and Carvalho 2014).  
161 This is also suggested by the unalignment between wind and wave roses in Figure 2. The most  
162 energetic waves in the region are associated with cold fronts and have a significant impact on the  
163 local morphodynamics (Stein and Siegle 2019).

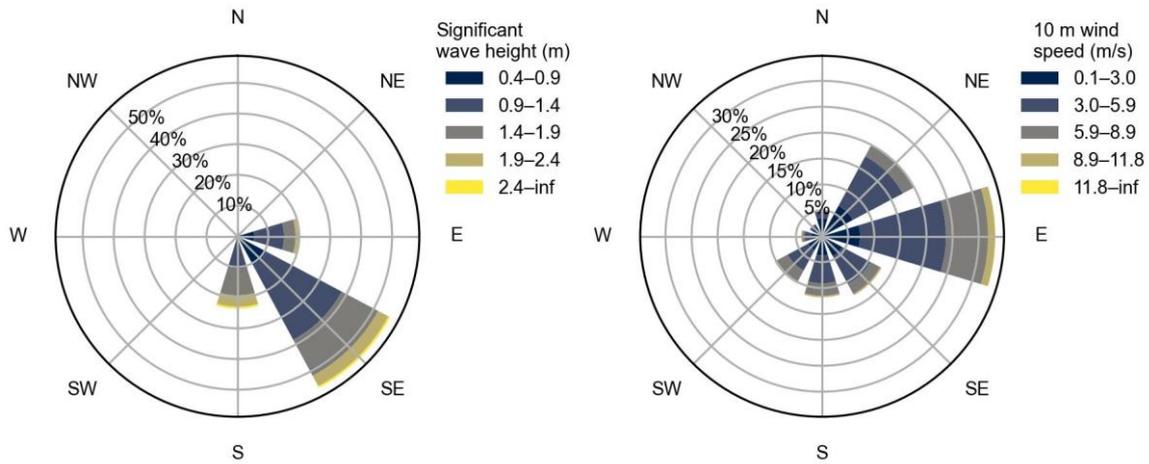


Figure 2: Wave rose from the western CAWCR node (24.4°S, 46.4°W) and wind rose from its respective ERA5 node.

164 **2.2. Available data**

165 Topographic and bathymetric data of Baixada Santista were obtained from different sources such  
 166 as bathymetric surveys performed by the Santos Pilotage Service (Praticagem do Porto de  
 167 Santos); nautical charts from the Brazilian Navy’s Directorate of Hydrography and Navigation  
 168 (DHN); the General Bathymetric Chart of the Oceans (GEBCO); the SRTM15+V2.0 global elevation  
 169 grid (Tozer et al. 2019); and sparse survey data provided by Sabesp.

170 Water level time series from tide gauges of Praticagem Santos and Ilha das Palmas were  
 171 provided by DHN. Both tide gauges are located inside the Santos estuary. The former is at the  
 172 entrance of the Santos channel; the latter is on an island to the east of Santos Bay. Marine climate  
 173 data such as water temperature, salinity, and currents, were retrieved from nodes of the Hybrid  
 174 Coordinate Ocean Model (HYCOM; Bleck 2002). Observational data of wind velocity and direction  
 175 were available at the Bertioga station owned by the Brazilian National Institute of Meteorology  
 176 (INMET). However, auxiliary wind fields were retrieved from an atmospheric reanalysis of the  
 177 United States National Centers for Environmental Prediction (NCEP) (NCEP/DOE Reanalysis 2;  
 178 Kanamitsu et al. 2002). Other required meteorological variables such as relative humidity, air  
 179 temperature and net solar radiation were also extracted from the NCEP/DOE Reanalysis. Figure 1

180 shows the location of the tide gauges, the meteorological station and the HYCOM and NCEP/DOE  
181 global grid nodes employed in the study.

182 Data on outfall discharges for 2012 and 2019, as well as sparse analyses of total  
183 suspended solids of their effluents for 2019, were provided by Sabesp (2023). The outfall  
184 discharge time series were analyzed for inconsistencies on a monthly basis, replacing suspicious  
185 records with compatible records from the previous or following year. The consolidated discharge  
186 time series are shown in Figure A1 of the Appendix. Additionally, from an analysis of the drainage  
187 system of Baixada Santista, there were identified a total of 27 freshwater point discharges (PT-01  
188 to PT-27) into the coastal area influenced by the five submerged outfalls (see Figure 1b,c). The  
189 point discharges correspond to streams and other effluents with mean annual flows between  
190  $0.15 \text{ m}^3/\text{s}$  and  $25.26 \text{ m}^3/\text{s}$  (see Table A1 in Appendix).

191 Regarding the wave climate, time series of significant wave height at a buoy in Santos Bay  
192 (see Figure 1c) were provided by Fundação Centro Tecnológico de Hidráulica (FCTH). Hourly-  
193 averaged wave parameters in deep water were obtained from the European Centre for Medium  
194 Range Weather Forecasts (ECMWF) fifth generation reanalysis (ERA5; Hersbach et al. 2020) and  
195 the Collaboration for Australian Weather and Climate Research (CAWCR) wave hindcast (Smith et  
196 al. 2021). The ERA5 and CAWCR grid nodes employed for the study are shown in Figure 1a. Since  
197 wind fields are an important input for wave propagation models, three global wind datasets were  
198 considered. In addition to ERA5 which also provides wind data (recall Figure 2), we used wind  
199 fields from the United States National Aeronautics and Space Administration (NASA) Modern-Era  
200 Retrospective Analysis for Research and Applications, version 2 (MERRA-2; Gelaro et al. 2017)  
201 and the NCEP Climate Forecast System, version 2 (CFSv2; Saha et al. 2014). These wind datasets  
202 provide data on global grids with size between  $0.2^\circ$  and  $0.625^\circ$ , and hourly temporal resolution.

### 203 **2.3. Hydrodynamic model**

204 The hydrodynamic and sediment transport modeling was performed with the Delft3D-FLOW  
205 module. Delft3D-FLOW simulates two-dimensional or three-dimensional hydrodynamic flows  
206 and transport phenomena over a domain driven by environmental forces. This module solves the

207 unsteady non-linear shallow water equations under hydrostatic and Boussinesq approximations  
208 (Deltares 2020a). Delft3D-FLOW is widely employed in studies regarding coastal and estuarine  
209 environments (Baptistelli 2015; Mendes et al. 2021; Huff, Feagin, and Figlus 2022), and it has  
210 been validated by laboratory and field studies (Elias et al. 2001; Gerritsen et al. 2008).

211 Two simulation periods, i.e., 2012 and 2019, were considered for the Delft3D-FLOW  
212 model. Calibration and validation of hydrodynamics were done for 2012 because of tide gauge  
213 data availability. However, the period employed for outfall sediment transport modeling was 2019  
214 since suspended solid concentrations of the outfall effluents were only known for that year.

215 The computational domain was prescribed as a two-dimensional structured curvilinear  
216 grid with variable spatial resolution between 36 m and 1014 m. Variable resolution allows for a  
217 more detailed simulation in areas of interest while not consuming excessive computer power in  
218 other areas, e.g., near the boundaries. A mesh sensitivity analysis was done by refining in the areas  
219 of interest (the vicinity of the outfalls and the Santos Bay), and the model was found to have  
220 negligible mesh dependency for cell sizes of the order of 100 m near the outfall discharge  
221 locations. In Delft3D-FLOW, a two-dimensional grid implies a depth-average simulation, which is  
222 justified in the present study because Santos Bay and its outer coastal area are weakly and briefly  
223 stratified during both neap and spring tide regimes (Belém et al. 2007; Harari, França, and  
224 Camargo 2008). Bed elevations for this grid were interpolated from the available topographic and  
225 bathymetric datasets. Figure 3 shows the grid definition and interpolated bathymetry.

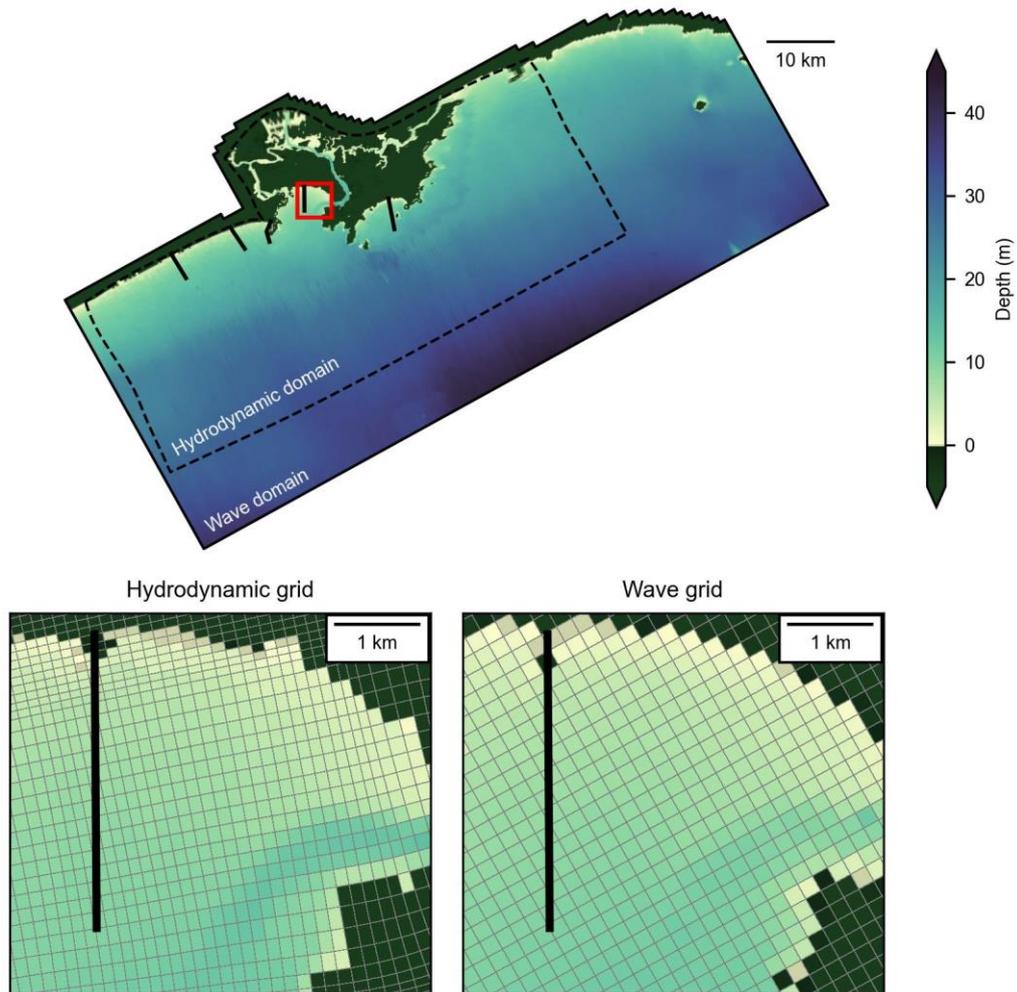


Figure 3: Computational grids with interpolated bathymetry.

226 Water level boundary conditions in open ocean were specified via amplitudes and phases  
 227 of 14 tidal constituents from the TPXO global tidal model (Egbert and Erofeeva 2002). These  
 228 harmonic constants were downloaded and spatially interpolated along western, southern and  
 229 eastern boundaries using Delft Dashboard (Ormond, Nederhoff, and Dongeren 2020). Time-  
 230 varying salinity and temperature conditions from HYCOM were also specified at open boundaries  
 231 for 2012 and 2019.

232 Uniform wind forcing was applied for the model by providing time series of wind speed  
 233 and direction at 10 m elevation. For the 2012 period, wind time series from Bertioga station  
 234 presented significant gaps, so NCEP/DOE winds were utilized. For 2019, Bertioga station was  
 235 used since it presented robust time series with hourly resolution, whereas NCEP winds were 6-

236 hourly. Sensitivity analyses on available subperiods showed that both wind datasets produce  
237 similar hydrodynamic results, so the most complete dataset was selected for each period.

238 For modeling heat exchange at the free surface, the Murakami scheme (Murakami,  
239 Oonishi, and Kunishi 1985) was used. This heat flux model considers the absorption of incoming  
240 radiation as a function of depth, and, although developed for Japanese waters, it has been applied  
241 to coastal waters in other regions (e.g., Pokavanich, Nadaoka, and Blanco 2008; Alosairi,  
242 Pokavanich, and Alsulaiman 2018; Arifin, Yano, and Lando 2020). Time series of uniform relative  
243 humidity, air temperature and net solar radiation from the NCEP/DOE Reanalysis were prescribed  
244 for the Murakami scheme in both 2012 and 2019.

245 Constant flows were prescribed for the 27 point discharges corresponding to their mean  
246 annual flows in 2012 and 2019 (Table A1). Outfall discharges were prescribed as monthly  
247 averages in a single grid cell according to available data for both simulation periods. The mean  
248 monthly discharges of each outfall for 2012 and 2019 were defined as shown in Figure A1.  
249 Constant salinity of 0.1 ppt and temperature of 20°C were set for all freshwater point discharges  
250 and outfalls.

251 Model calibration was done mainly by minimizing the difference in water level between  
252 model results and measurements at Praticagem Santos for 2012. Differences in currents, salinity  
253 and temperature between the model and the HYCOM node near Praia Grande were also  
254 considered. The calibrated model was validated against water level time series at Ilha das Palmas  
255 for 2012 and compared with currents, salinity and temperature time series at the HYCOM nodes  
256 near Santos and Guarujá. Major calibration parameters were the Manning's bottom roughness  
257 coefficient, the wind drag coefficient and the time step. Calibration was achieved with a Manning's  
258 coefficient of 0.02 and a linear wind drag coefficient between 0.001 and 0.003 for wind speeds  
259 between 0 m/s and 25 m/s. The simulation time step was defined to be 1 minute.

260 In depth-averaged models, Delft3D-FLOW implements constant values for horizontal  
261 eddy viscosity and diffusivity to account for momentum and solute mixing due to unresolved  
262 turbulent motion (Deltares 2020a). Since the vertical profile of the horizontal velocity is not

263 resolved, these viscosity and diffusivity parameters must also account for shear dispersion. The  
264 eddy viscosity and diffusivity are usually calibration parameters since they are flow-dependent  
265 properties, in contrast to their molecular counterparts, which are properties of the fluid. Given  
266 the lack of measurements of velocity and solute dispersion in the study area, calibration for those  
267 parameters was not possible. However, preliminary simulations were performed to study the  
268 sensitivity of the model to background eddy viscosity and diffusivity in a range of  $10^{-2}$  m<sup>2</sup>/s and  
269  $10^2$  m<sup>2</sup>/s. Variations in viscosity and diffusivity did not have significant effects on the order of  
270 magnitude of suspended sediment concentration and deposition rate. Water level and velocity  
271 inside the Santos Bay also showed low sensitivity to variations in eddy viscosity and diffusivity.  
272 Then, it is reasonable to assume that uncertainties in unresolved flow features (i.e., turbulence  
273 and shear dispersion) do not phenomenologically invalidate the conclusions of the present  
274 research. Finally, both background horizontal eddy viscosity and diffusivity were set to a uniform  
275 value of 1 m<sup>2</sup>/s.

276 Finally, the case setup for periods 2012 and 2019 are consolidated in Table 2 with the  
277 main model inputs and the available data for each parameter/forcing.

Table 2: Summary of hydrodynamic model inputs.

Input	2012	2019
Manning coefficient	0.02	0.02
Horizontal eddy viscosity	1 m <sup>2</sup> /s	1 m <sup>2</sup> /s
Horizontal eddy diffusivity	1 m <sup>2</sup> /s	1 m <sup>2</sup> /s
Boundary conditions		
Water level	TPXO	TPXO
Temperature and salinity	HYCOM	HYCOM
Wind speed and direction	NCEP/DOE	Bertioga station
Surface heat flux		
Model	Murakami	Murakami
Relative humidity	NCEP/DOE	NCEP/DOE
Air temperature	NCEP/DOE	NCEP/DOE
Net solar radiation	NCEP/DOE	NCEP/DOE
Outfall discharges		
Flow	Figure A1	Figure A1
Temperature	20°C	20°C
Salinity	0.1 ppt	0.1 ppt
PT-01 to PT-27 discharges		
Flow	Table A1	Table A1
Temperature	20°C	20°C
Salinity	0.1 ppt	0.1 ppt
Sediment transport	No	Yes

#### 278 **2.4. Sediment transport modeling**

279 The suspended sediment concentrations in outfall discharges were estimated from analyses of  
280 total suspended solids of the outfall effluents in 2019. Constant total sediment concentrations  
281 were estimated to be 0.278 kg/m<sup>3</sup> for Santos outfall, 0.128 kg/m<sup>3</sup> for Guarujá outfall and  
282 0.134 kg/m<sup>3</sup> for the three outfalls at Praia Grande. The grain size distribution was determined by  
283 laser diffraction granulometry of solids of a wastewater sample from the Santos treatment plant  
284 in March 2016 (Consórcio Partner/TetraTech 2017). The median grain size of the whole sample  
285 was 20 µm, showing that the effluent solids are mainly silt-sized. Given that the minimum median  
286 grain diameter accepted by Delft3D for non-cohesive sediment is 100 µm, the total suspended  
287 solids were divided into cohesive and non-cohesive fractions (see Figure A2 in Appendix). For the  
288 non-cohesive fraction, the median size of 100 µm was found in the upper 18% of the grain size

289 distribution ( $>62.4 \mu\text{m}$ ) The lower 82% is then considered as cohesive sediment with a median  
290 size of  $14.7 \mu\text{m}$ . The concentrations of suspended solids were split accordingly for each outfall.

291 By default, Delft3D uses a particle density of  $2650 \text{ kg/m}^3$ , typical of mineral sediments.  
292 However, since wastewater effluents usually contain a significant fraction of lighter organic  
293 particles ( $1250 \text{ kg/m}^3$  on average; Boyd 1995), the default specific density must be corrected.  
294 Laboratory analysis of wastewater samples from the Santos treatment plant in 2015 (Figure A2  
295 in Appendix) shows that on average suspended solids are 81% volatile (organic) and 19% fixed  
296 (mineral). Following Avnimelech et al. (2001) and considering the organic and mineral content, a  
297 weighted average specific density of  $1513 \text{ kg/m}^3$  was computed. Since dry bed density of the  
298 effluent solids was not available, it was estimated from the weighted specific density and the  
299 default porosity considered by Delft3D (81% and 40% for cohesive and non-cohesive sediments,  
300 respectively). Then, the bed dry densities were specified as  $286 \text{ kg/m}^3$  for the cohesive fraction  
301 and  $914 \text{ kg/m}^3$  for the non-cohesive fraction. Sediment dynamics of cohesive sediment depends  
302 on several other factors such as the settling velocity, salinity-induced sediment flocculation and  
303 empirical parameters for sedimentation and erosion. However, these parameters were not  
304 available for the present study, so Delft3D defaults were used.

305 In order to analyze the transport and fate of sediment exclusively from the outfalls, initial  
306 sediment concentration and bed sediment layer were set to zero, and all other sources of sediment  
307 were disabled (i.e., concentration in point discharges and boundaries equal to zero). The overall  
308 setup of the sediment transport model is summarized in Table 3.

Table 3: Summary of sediment transport inputs.

Input	Cohesive (82%)	Non-cohesive (18%)	Total sediments
Median grain size ( $\mu\text{m}$ )	14.7	100	20
Specific density ( $\text{kg}/\text{m}^3$ )	1513	1513	1513
Initial bed layer thickness (m)	0	0	0
Concentration ( $\text{kg}/\text{m}^3$ )			
Initial	0	0	0
Santos	0.228	0.050	0.278
Guarujá	0.105	0.023	0.128
PG1, PG2 and PG3	0.110	0.024	0.134
PT-01 to PT-27	0	0	0

## 309 2.5. Wave model

310 In order to simulate the propagation and evolution of wind-waves in the domain, the Delft3D-  
311 WAVE module was used. Delft3D-WAVE computes wave fields for given bathymetry, wind field  
312 and hydrodynamic conditions by running the SWAN model (Deltares 2020b). SWAN is a third-  
313 generation wave model that simulates the generation and propagation of wind-waves in coastal  
314 regions including shallow waters and ambient currents (Booij, Ris, and Holthuijsen 1999). SWAN  
315 is widely used for studies of waves in coastal environments, estuaries, tidal inlets and semi-  
316 enclosed basins (e.g., Lenstra et al. 2019; Rusu 2022; Iouzzi et al. 2022; Aydođan and Ayat 2021),  
317 and it has been validated for a number of field and academic cases (Ris, Holthuijsen, and Booij  
318 1999; Allard et al. 2004).

319 For wave modeling, two periods were considered. The period for validation was 2016 due  
320 to availability of wave data from the buoy in Santos Bay. To study the influence of waves on outfall  
321 sediment transport, the period of 2019 was set up for wave-current coupling.

322 The wave domain was discretized as a structured grid with uniform resolution of 205 m  
323 and oriented along the hydrodynamic grid. A mesh sensitivity analysis starting with grid size of  
324 409 m with gradual reductions showed that the model was approximately mesh independent at  
325 205 m. Further refinement caused the greatest wave height improvement to be less than 2 cm at  
326 the cost of much longer computation times. In the same fashion as for the hydrodynamic model,  
327 bathymetry was interpolated from available surveys and datasets. The computational grid of the

328 wave model was defined to be larger than the hydrodynamic grid (see Figure 3) to simulate wave  
329 propagation from global hindcast nodes in deep waters (ERA5 and CAWCR). In practice, when a  
330 coupled simulation is performed, hydrodynamic and wave grids do not need to be identical since  
331 Delft3D can interpolate the required wave output to the hydrodynamic grid and vice-versa.

332 In the present simulation, the following processes were considered: energy input by wind;  
333 dissipation by bottom friction, depth-induced breaking and whitecapping; and non-linear wave-  
334 wave interactions, i.e., quadruplets and triads. For bottom friction, Delft3D-WAVE applies by  
335 default the empirical JONSWAP formulation (Hasselmann et al. 1973) with a bottom friction  
336 coefficient of  $0.067 \text{ m}^2/\text{s}^3$ , as proposed by Bouws and Komen (1983) for fully developed wind-sea  
337 conditions in shallow water. However, a more recent study by Vledder, Zijlema, and Holthuijsen  
338 (2011) shows that the value  $0.038 \text{ m}^2/\text{s}^3$  is applicable for a wide range of bottom materials and  
339 for both wind-sea and swell, so it is used in the present simulation.

340 For model input, space-varying and time-varying eastward and northward 10 m wind  
341 speed components were defined as subsets of the global atmospheric reanalyses over the sea  
342 surface, i.e., ERA5, CFSv2 and MERRA-2. Following the default JONSWAP boundary condition  
343 parametrization in SWAN, time series of significant wave height, peak period, mean wave  
344 direction and directional spreading were generated from global wave datasets (ERA5 and  
345 CAWCR). In the present model, SWAN performs spectral interpolation between two support  
346 points to establish boundary conditions for all grid points along the southern boundary.

347 The selection of appropriate wind field and wave boundary conditions was conducted by  
348 cross validation, i.e., testing a total of six different combinations of wind and wave datasets and  
349 comparing model results with significant wave height time series from a buoy in Santos Bay. The  
350 wind datasets considered were ERA5, CFSv2 and MERRA-2, while the wave datasets were from  
351 ERA5 and CAWCR. The best wave boundary condition and wind dataset were from CAWCR and  
352 ERA5, respectively. This combination is consistent with results from other authors. For example,  
353 a study by Kaiser et al. (2022) showed that ERA5 winds produce better results than CFSR for  
354 spectral wave modeling in the South Atlantic Ocean. Furthermore, the combination of CAWCR

355 wave boundary conditions with ERA5 wind have been found to provide slightly more accurate  
356 results for wave modeling in the southern Brazil nearshore (Bose et al. 2022). The combination  
357 of ERA5 winds with CAWCR wave boundary conditions was then used for the 2019 wave-current  
358 coupling.

359 Although the model was set up with input data for the entire year 2019, for convenience,  
360 the model runs were performed by sub-periods. According to the time scale of variations in the  
361 incoming wave conditions (CAWCR Wave Hindcast), the length for the sub-periods was specified  
362 to be a month. The time series of wave integral parameters of 2019 from a CAWCR node were  
363 analyzed to determine relevant modeling sub-periods. January, March and July of 2019 were  
364 selected being representative of mild, mean and strong wave regimes, respectively. This selection  
365 is consistent with regional wave climate, i.e., the austral summer (January) and winter (July) have  
366 the higher and lower wave heights (see Pianca, Mazzini, and Siegle 2010). The time series of  
367 significant wave height from the westernmost CAWCR node in Figure 1 (24.4°S, 46.4°W) is  
368 presented in Figure A3 of the Appendix for the three selected sub-periods.

## 369 **2.6. Wave-current interaction modeling**

370 The effect of wave-current interaction on the transport and fate of outfall sediment was evaluated  
371 by comparing the results of the standalone hydrodynamic model with the coupled hydrodynamic-  
372 wave model for the three defined sub-periods. Coupling between Delft3D-FLOW and Delft3D-  
373 WAVE was done in online/dynamic mode. This mode allows for a two-way wave-current  
374 interaction in which both the effect of waves on currents and the effect of currents on waves are  
375 accounted for. Delft3D-FLOW accounts for several wave-induced effects on hydrodynamics. Wave-  
376 induced forcing, Stokes drift and the enhancement of bed shear stress by waves have an overall  
377 effect over the water column and can be considered in a depth-averaged form suitable for 2D  
378 computations (Deltares 2020a).

379 In particular, the enhancement of bed shear stress by waves results from a non-linear  
380 interaction between the bed boundary layers of waves and currents, causing the resultant bed  
381 shear stress to be higher than the simple addition of the shear stresses due to waves and currents

382 (Soulsby and Humphery 1990). The non-linear boundary layer interaction results in time-  
 383 averaged and maximum components of oscillatory bed shear stress that are important drivers for  
 384 sediment transport (Deltares 2020a). Sediment resuspension is dominated by the maximum bed  
 385 shear stress, while overall current velocity and diffusion of suspended particles are influenced by  
 386 the time-averaged bed shear stress.

### 387 3. Results and discussion

#### 388 3.1. Calibration and validation

389 The performance of hydrodynamic and wave models was evaluated using error metrics  
 390 comparing observed and modeled values. Given a series of  $n$  observed values,  $O_i$ , and their  
 391 corresponding modeled values,  $M_i$ , their means are denoted by  $\bar{O}$  and  $\bar{M}$ , and their sample  
 392 standard deviations by  $s_O$  and  $s_M$ . The error metrics are those suggested by Pontius (2022), but  
 393 the regression slope is from a standardized major axis regression to account for an unknown level  
 394 of uncertainty in both observations and model results (Correndo et al. 2021). Their definitions  
 395 and units are given in Table 4.

Table 4: Definition of the proposed error metrics.

Metric	Formula	Units
Mean error (ME)	$\bar{M} - \bar{O}$	From $O_i, M_i$
Mean absolute error (MAE)	$\frac{1}{n} \sum_{i=1}^n  M_i - O_i $	From $O_i, M_i$
Pearson correlation coefficient (PCC)	$\frac{\sum_{i=1}^n O_i M_i - n \bar{O} \bar{M}}{(n-1) s_O s_M}$	Dimensionless
Regression slope	$\frac{s_M}{s_O}$	Dimensionless

396 Since the hydrodynamic model was set up for mean conditions, i.e., astronomical tides, the  
 397 modeled water level does not reflect storm surges associated with the passage of cold fronts,  
 398 which are out of the scope of the present study. So, before computing the error metrics, a high-  
 399 pass filter was applied to the observed water level series to remove the subtidal band comprised  
 400 of harmonic components with periods >30 hours (Schettini et al. 2019; Ruiz et al. 2021). A scatter

401 plot comparing modeled and observed water level at the calibration point (Praticagem Santos)  
 402 for the period July–December 2012 is presented in Figure 4a. The model was then validated  
 403 against water level observations at Ilha das Palmas for May–November 2012 (Figure 4b). The  
 404 error metrics of the calibration and validation water level data are summarized in Table 5.  
 405 Calibration was achieved up to a MAE of about 0.07 m and resulted in a similar value for the  
 406 validation data. The PCC and slope that approach unity suggest low systematic bias. Results for  
 407 both points show an overall good agreement with astronomical tides for the inner and outer  
 408 regions of Santos Bay.

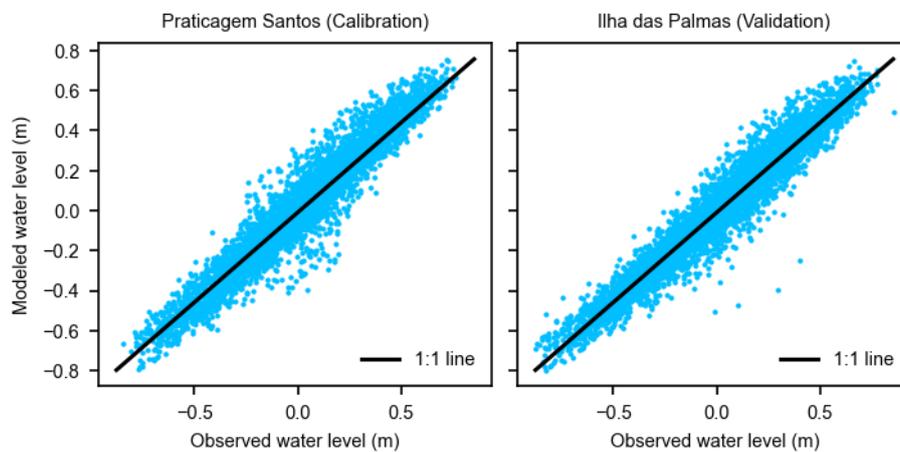


Figure 4: Scatter plots of modeled versus observed (tide gauge) water level for Praticagem Santos and Ilha das Palmas.

Table 5: Computed error metrics for water level and wave variables.

Metric	Water level (calibration) (m)	Water level (validation) (m)	Wave height (m)
ME	0.0069	-0.0033	0.0129
MAE	0.0689	0.0695	0.1352
PCC	0.9597	0.9639	0.8846
Slope	0.9797	0.9328	0.7845

409 The wave model was validated against the wave time series from the buoy in Santos Bay.  
 410 A comparison between modeled and observed significant wave height for March–May 2016 is  
 411 presented in Figure 5. Although wave height shows an overall good agreement (PCC of 0.88;

412 Table 5), on April 27 the buoy recorded an event with significant wave heights of up to 4 m that  
413 was not reproduced by the model (Figure 5a,b). This can be explained by extreme conditions  
414 underestimated (smoothed) by global wind and wave reanalyses (see, e.g., Stopa 2018) or by not  
415 simulating the wave-surge-tide interaction (Wolf 2009). Although the model did not reproduce  
416 the 4 m extreme waves, as seen in the next section, wave heights of 2 m are enough to resuspend  
417 virtually all the outfall sediment. Wave action stronger than that would further resuspend the  
418 underlying natural sediment, which was not considered in the present model. Furthermore,  
419 according to linear wave theory, the depth at which waves can effectively stir up the bed sediment  
420 depends to a greater extent on wavelength than on wave height.

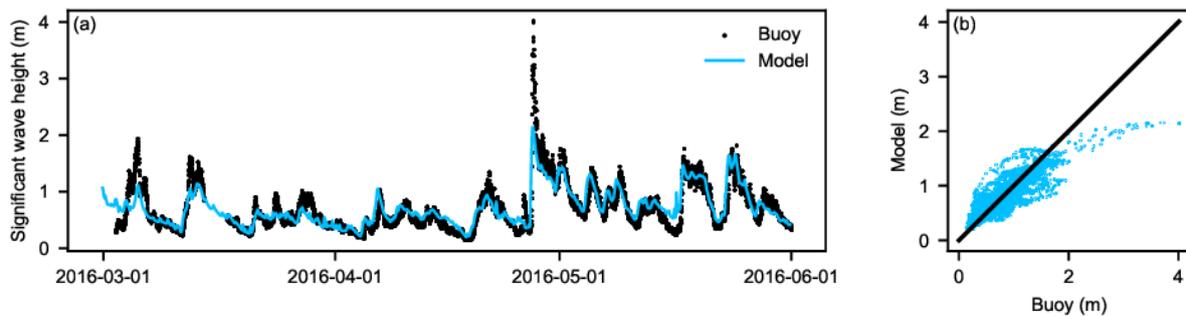


Figure 5: Time series (a) and scatter plot (b) of significant wave height in Santos Bay.

### 421 3.2. Sediment transport

422 The sum of the cohesive and non-cohesive fractions was computed from the model to give the  
423 total sediment concentration in the water column. Since this quantity is highly variable over time,  
424 being dominated by the outfall plumes, the temporal mean of each cell was calculated along the  
425 domain. Figure 6 shows a comparison of the time-averaged total sediment concentration between  
426 the current-only hydrodynamic model and the coupled wave-current model for the three sub-  
427 periods (January, March and July 2019). It can be observed that, among the five submerged  
428 outfalls, the outfall in Santos Bay has the largest sediment plume for all the sub-periods. This  
429 result is expected because the Santos outfall has the highest discharge and the highest  
430 concentration of total suspended solids. Interestingly, under the influence of waves, all outfalls  
431 exhibit more dispersed plumes, reaching higher concentrations in areas where sediment would

432 be on average more diluted under the no-waves condition. This effect is more pronounced with  
433 mean and strong wave conditions (March and July). Since the effluent discharges and the  
434 suspended solids concentrations are kept constant between current-only and wave-current  
435 scenarios, this result must be associated with wave action.

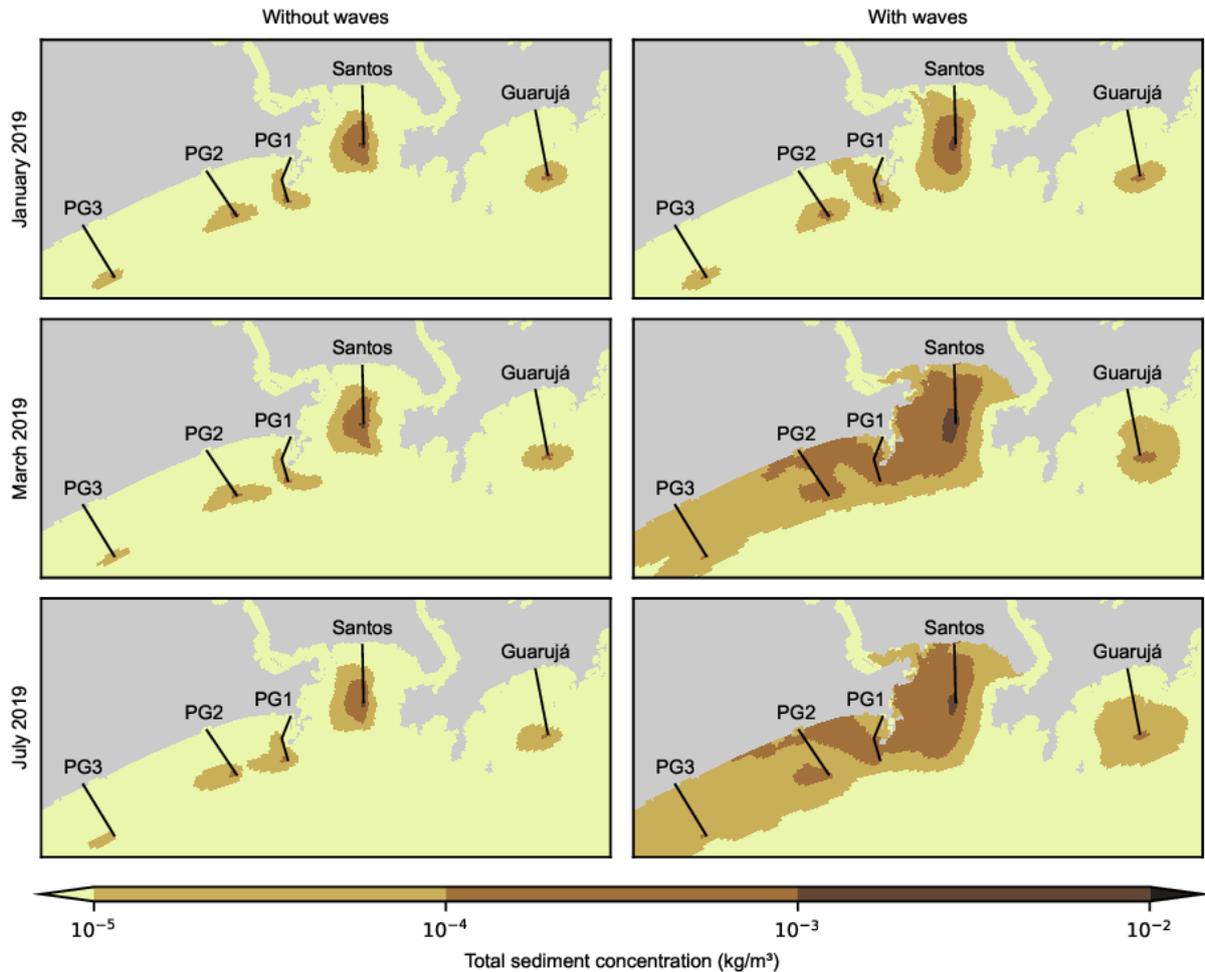


Figure 6: Temporal mean of total modeled sediment concentration with and without waves.

436 The extension of the sediment plumes under the influence of waves is not surprising. As  
437 illustrated by Magris et al. (2019), sediment discharges from land-based activities can produce  
438 plumes of fine-grained sediment that extend up to hundreds of kilometers from the release point,  
439 reaching nearby shores. This is reasonable given the conservative nature of sediment as a  
440 constituent. However, due to settling and dilution, the discharged sediment can rapidly reach  
441 concentrations below reference ambient levels, perhaps posing negligible impacts on the

442 environment. In fact, suspended solids in the outfall effluents are  $\mathcal{O}(10^{-1} \text{ kg/m}^3)$  and, after  
443 release, get rapidly diluted up to  $\mathcal{O}(10^{-3} \text{ kg/m}^3)$  and lower, which is below ambient  
444 concentrations, i.e.,  $\mathcal{O}(10^{-2} \text{ kg/m}^3)$  (Berzin 1992).

445 The contribution of cohesive and non-cohesive sediment fractions to the total modeled  
446 sediment concentration is shown in Figure 7 for mean wave conditions, i.e., March 2019. It can be  
447 observed that the cohesive fraction dominates the total sediment concentration (Figure 6). This  
448 occurs for two main reasons. First, cohesive sediment constitutes 81% of the total sediment  
449 concentration in the effluents. Second, due to their fine-grained nature, cohesive particles take  
450 more time to settle than non-cohesive sediment. The latter allows the particles to be transported  
451 further from the discharge location before intercepting the seabed.

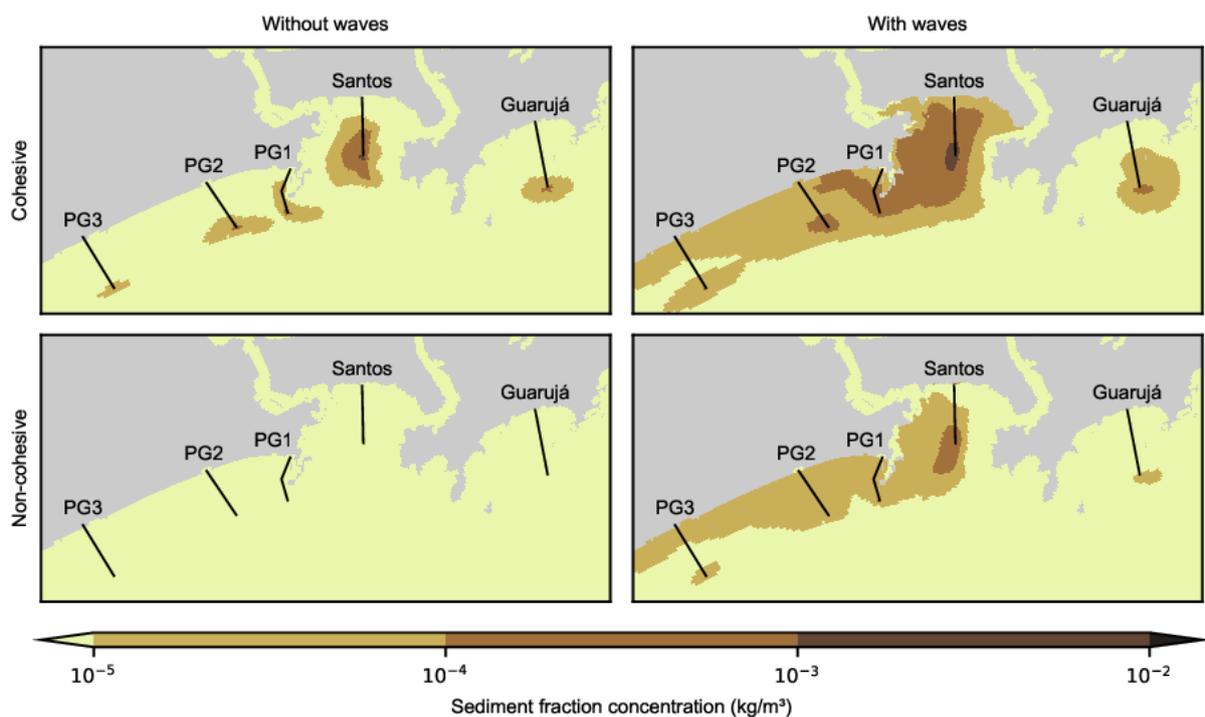


Figure 7: Temporal mean of modeled cohesive and non-cohesive sediment concentration for March 2019 with and without waves.

452 The modeled mass of cohesive and non-cohesive sediment deposited at the seabed is  
453 presented in Figure 8, also for March 2019 (mean wave conditions). Deposition for both fractions  
454 appears to be consistent with the corresponding plumes in Figure 7. For example, without the  
455 influence of waves, non-cohesive sediment rapidly settles in a small area around the diffuser for

456 all five outfalls, producing negligible concentrations in the water column (of the order of  
457  $10^{-5}$  kg/m<sup>3</sup> and lower; see Figure 7). The cohesive fraction, however, gets more initial dispersion,  
458 and most of the deposition occurs within 1 km to 2 km from the diffusers. On the other hand,  
459 when considering the effect of waves, both fractions get highly dispersed over the domain. In  
460 particular, the non-cohesive fraction shows a drastic difference in plume extension, suggesting  
461 that wave action reentrains most of this sediment to the water column.

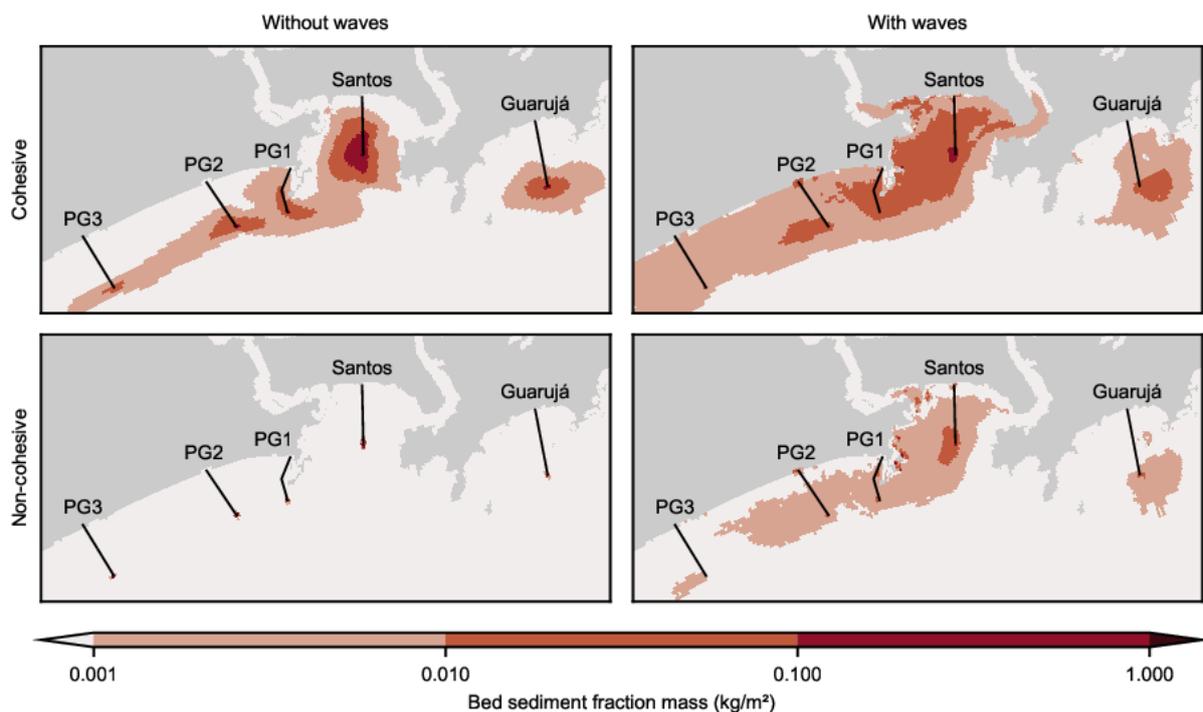


Figure 8: Modeled sediment deposition at the end of March 2019 with and without waves.

462 The deposited sediment mass (kg/m<sup>2</sup>) was converted to sediment layer thickness (m)  
463 using the dry densities of cohesive and non-cohesive fractions. Deposition quantities expressed  
464 in terms of thickness are more intuitive and easier to reason about than mass per area, so, in  
465 Figure 9, the modeled bed sediment layer thickness at the end of the three sub-periods is  
466 presented. From observing Figure 9, it is evident that waves play a significant role in outfall  
467 sediment dispersion, affecting the final geometry of the deposits at the end of the sub-periods.  
468 Under wave influence, outfall sediment is mobilized over greater distances from the discharge  
469 point, reaching the entrance of the estuarine channels of São Vicente and Santos, and the coasts

470 to the west. This is consistent with sediment plumes in Figure 6, especially under mean and strong  
 471 wave regimes, where sediment is transported by westerly longshore currents. The overall  
 472 deposition in the Santos Bay is compatible with a sedimentation sector that Fukumoto, Mahiques,  
 473 and Tessler (2006) identified in the mid-western part of the bay and consists mainly of organic-  
 474 rich facies. Indeed, Fukumoto, Mahiques, and Tessler (2006) proposed the influence of the Santos  
 475 submerged outfall as one of the factors associated to this deposition area.

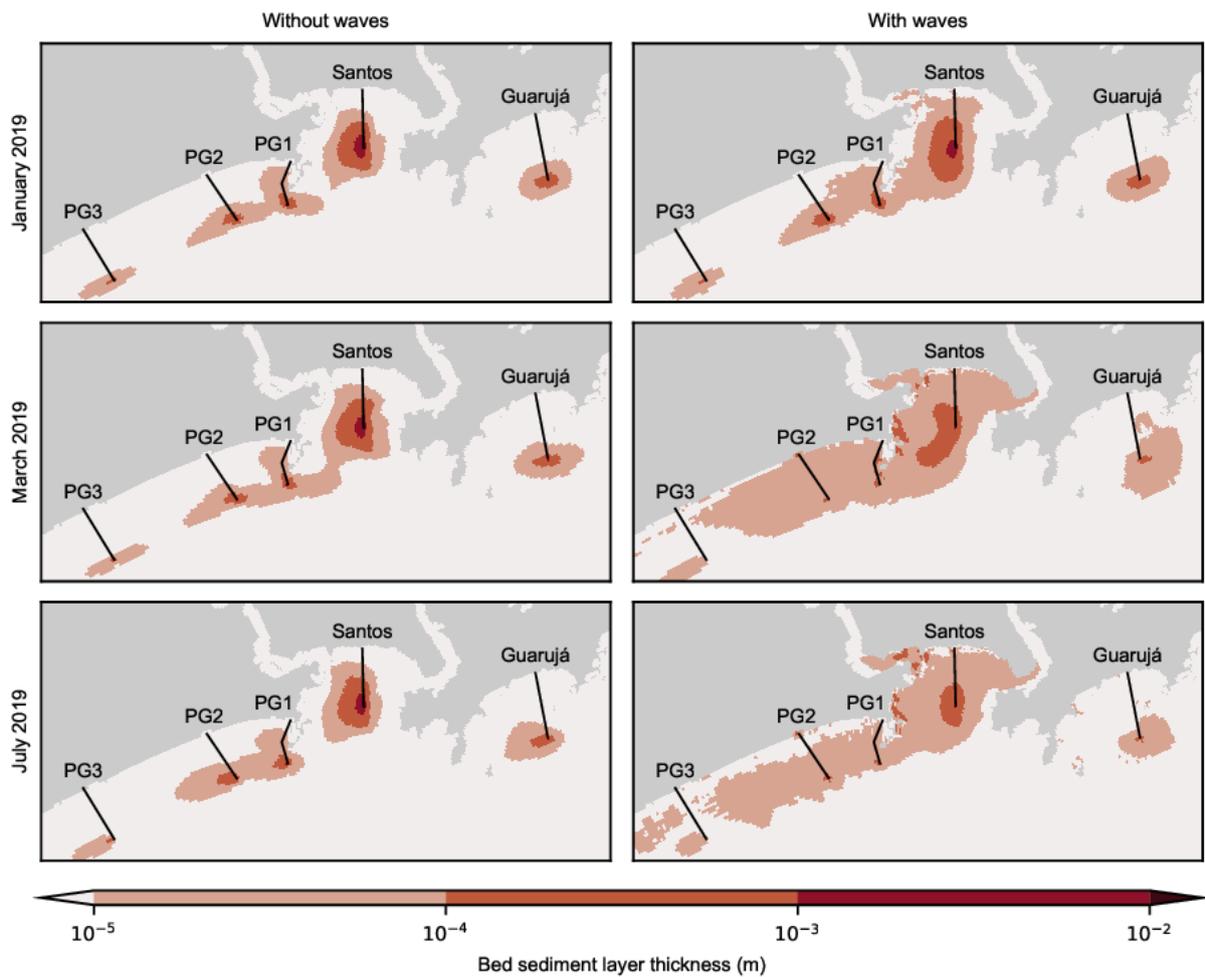


Figure 9: Modeled sediment deposition at the end of the sub-periods with and without waves.

476 The order of magnitude of the modeled sediment layer thickness is also shown in Figure 9.  
 477 Without the influence of waves, the Santos outfall produces a thicker bed sediment layer, up to  
 478  $\mathcal{O}(1 \text{ cm})$  in a small area in the vicinity of the diffuser, while the outfalls of Guarujá, PG1, PG2 and  
 479 PG3 showed maximum depositions of  $\mathcal{O}(1 \text{ mm})$ . The location of the peak thickness is in the

480 vicinity of the diffuser for all five outfalls, and this behavior remains unchanged between the  
481 current-only and wave-current models. In the months of March and July, the order of magnitude  
482 of the sediment layer thickness is greatly influenced by wave action; the sediment becomes  
483 distributed over larger areas with a lower thickness.

484 Events of sediment resuspension were found while analyzing the evolution of the modeled  
485 bed sediment layer near the outfall diffusers (Figure 10). Resuspension due to combined waves  
486 and currents occurs in the first and third weeks of January 2019, around days 5 and 20, for all  
487 outfalls. A less significant event of resuspension is observed on day 10. In July 2019, resuspension  
488 is more persistent, showing only a brief period of undisturbed deposition around the second  
489 week. The observed events of wave-generated resuspension can explain the increased sediment  
490 concentrations in the water column (Figure 6) because, once reentrainment occurs, sediment is  
491 further transported by currents.

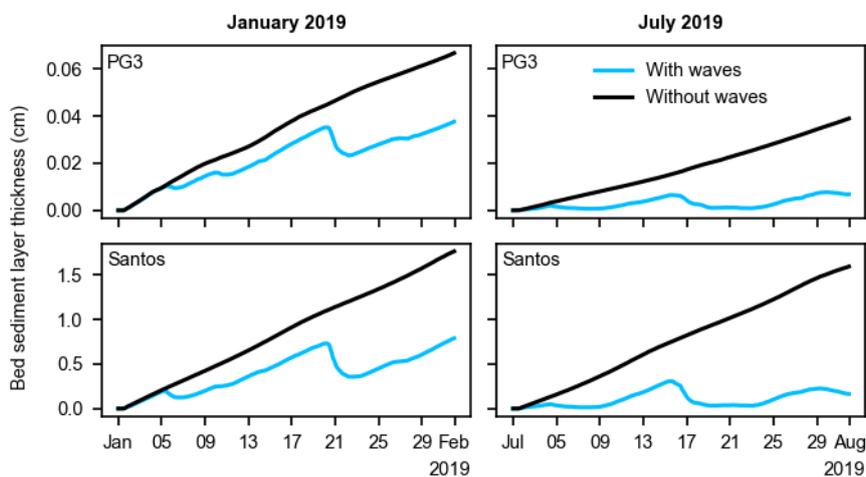


Figure 10: Evolution of the modeled bed sediment layer in the vicinity of the diffusers.

492 The outfalls of Santos and PG3 showed the highest and lowest final sediment deposition,  
493 respectively, coinciding with the magnitude of their discharges. Without wave effects, the Santos  
494 outfall produced a final deposition of 1.76 cm, and PG3 had only 0.07 cm at the end of January  
495 (mild wave conditions). However, considering waves, sediment deposition suffers reductions  
496 between 36% and 55%. With waves, the final deposition in January 2019 for Santos resulted in  
497 0.79 cm, and in PG3 it was about 0.04 cm. On the other hand, considering the strong wave action

498 of July, the sediment layer in Santos drops from 1.59 cm to 0.16 cm (-90%), and in PG3 it goes  
499 from 0.04 cm to 0.01 cm (-83%). This supports a relationship between the strength of wave  
500 conditions and the amount of resuspension. Also, those differences in sediment layer thickness  
501 indicate that, due to the action of waves, a large part of the sediment is removed from the location  
502 of initial deposition, preventing continued accumulation. In general, it can be noted that the  
503 deposition patterns are consistent among the five outfalls; they all show similar trends of  
504 sedimentation and erosion, only varying in magnitude. So, for the sake of brevity, from now on,  
505 only results for the Santos outfall will be presented.

506 As observed in Figure 10, the undisturbed depositional trend is approximately linear.  
507 However, a detailed view of the modeled deposition rate near the Santos outfall diffuser  
508 (Figure 11) shows that it has oscillation modes associated with the tidal motion. The average  
509 deposition rate is between 0.05 cm/day and 0.06 cm/day for the three sub-periods. At such an  
510 accelerated rate, after a whole year, an undisturbed deposition would result in a modeled  
511 sediment layer of about 20 cm. Due to wave action, deposition in the model is frequently hindered  
512 and interrupted, preventing the formation of unrealistic sediment deposits in the long term.

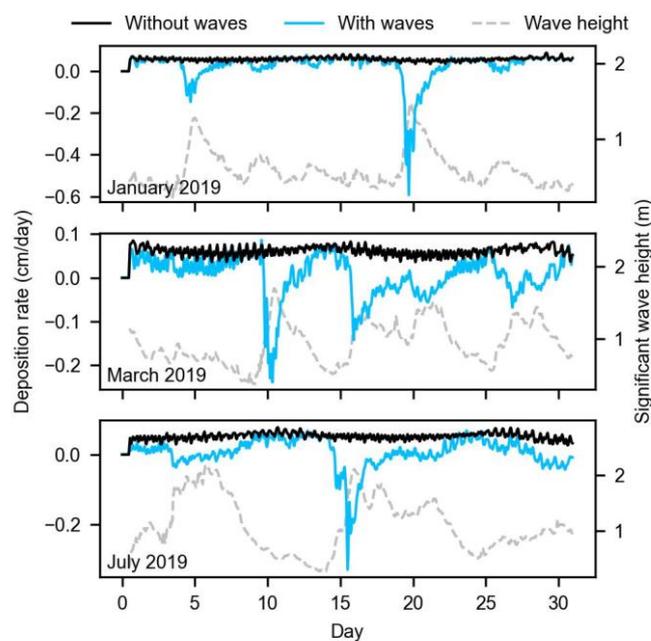


Figure 11: Modeled deposition rate in the vicinity of the Santos outfall diffuser.

513 In periods of reduced wave action, the deposition rate under calm conditions is  
514 approximately the same between the standalone hydrodynamic model and the coupled wave-  
515 current model (see, e.g., January 2019 in Figure 11). Figure 11 also shows that after events of  
516 resuspension (rate below zero) the deposition process tends to regain the initial rate. This  
517 behavior suggests that, in the model, waves do not have a significant effect on the deposition rate  
518 per se and only cause temporary disruptions. Nevertheless, in March and July, wave conditions  
519 are strong enough to hinder deposition during most of the sub-period.

520 In the present model, outfall sediment transport takes place over a fixed bed, and  
521 sediment resuspension is limited by the available outfall sediment at bed. For example, in January  
522 2019, there is more time of undisturbed deposition, so the available resuspendable sediment is  
523 greater. That is why January 2019 shows a more intense resuspension event than March and July  
524 2019 ( $-0.6$  cm/day; see Figure 11). Sediment resuspension also depends on the grain size  
525 distribution because sand-sized sediment is easier to resuspend due to its non-cohesive nature.  
526 For instance, since non-cohesive sediment tends to settle closer to the diffusers than cohesive  
527 sediment (as illustrated in Figure 8), resuspension rates in the vicinity of the outfalls are  
528 controlled by non-cohesive sediment.

529 Since sediment resuspension is dominated by the bed shear stress, it is expected that the  
530 interaction of waves and currents induces higher stresses. Indeed, around July 7, the bed shear  
531 stress in the wave-current model was an order of magnitude higher than in the standalone  
532 hydrodynamic model (see Figure A4 in Appendix). The enhancement of bed shear stresses is  
533 produced by a non-linear combination of current and wave stresses, which results in time-  
534 averaged and maximum components of oscillatory stress (Soulsby et al., 1993). Wave propagation  
535 can force currents, increasing their velocity and associated time-averaged stress; however, waves  
536 themselves produce a progressive orbital motion that controls the maximum component of  
537 oscillatory stress. The contribution of those two mechanisms can be assessed by comparing the  
538 overall increase in current velocity due to the inclusion of waves and the near-bottom wave orbital  
539 velocity. Current velocities in Figure 12b are slightly affected by wave action because outfall

540 diffusers are located offshore outside of the surf zone, in areas where radiation stresses are not  
541 able to drive significant currents. On the other hand, near-bed orbital velocities at the same  
542 location (Figure 12c) have pronounced peaks with higher magnitudes than those of currents.  
543 Strong near-bottom orbital motion can stir up bed sediments, producing the resuspension events  
544 observed in Figure 12a. This indicates that the dominant process for the enhancement of bed  
545 shear stress is the orbital motion of waves.

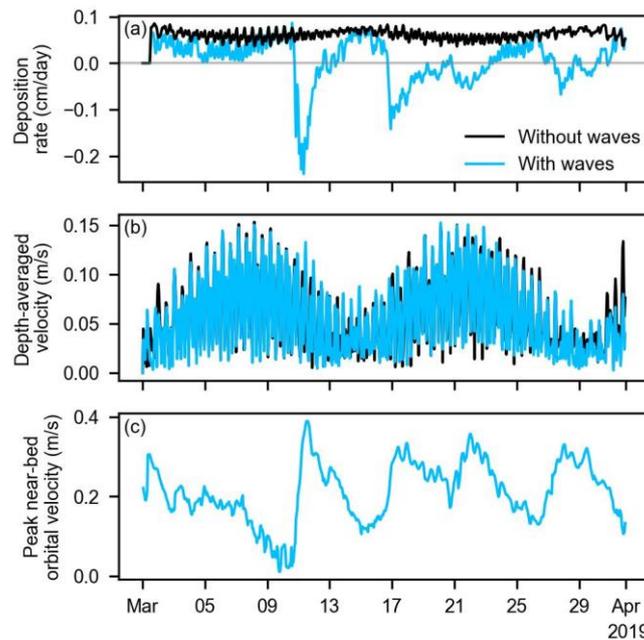


Figure 12: Modeled deposition rate (a), depth-averaged velocity (b) and peak near-bottom orbital velocity (c) in the vicinity of the Santos outfall diffuser in March 2019.

546 According to linear wave theory, the lower limit of wave action is at a depth equal to half  
547 the wavelength. Waves propagating over water deeper than this limit are deep-water waves. The  
548 effect of deep-water waves on the seabed is negligible; however, once the waves reach shallower  
549 depths, they begin to interact with the seabed. Figure 13 presents the depth-wavelength ratio of  
550 waves near the Santos outfall diffuser and the lower limit that corresponds to a ratio of 0.5. In  
551 January 2019, waves are in the deep-water regime most of the time with brief incursions into a  
552 transitional regime ( $<0.5$ ) in which near-bed elliptical motions can stir up bed sediment. On the  
553 other hand, in March and July, waves are mostly in the intermediate regime. Since March is  
554 representative of mean wave conditions, resuspension events and hindered deposition can be

555 expected throughout most of the year. Furthermore, by comparing the occurrence of resuspension  
556 events (negative deposition ratios) with wave conditions, it is found that resuspension can occur  
557 under significant wave heights as low as 0.57 m with mean wave periods of 5.5 s in January.

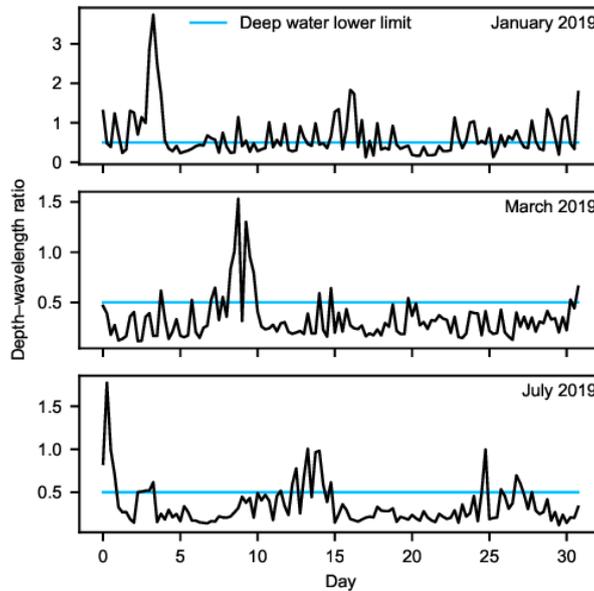


Figure 13: Modeled depth-wavelength ratio in the vicinity of the Santos outfall diffuser.

#### 558 4. Conclusions and recommendations

559 A coupled wave-current model with sediment transport was implemented in order to study the  
560 effects of waves on the transport and fate of sediments from submerged outfalls in relatively  
561 shallow waters. As a case study, an ensemble of five submerged outfalls in the coastal area of  
562 Baixada Santista, São Paulo state, Brazil, was selected. The model was implemented using  
563 operational data for 2019 provided by Sabesp. Comparison of results from a standalone  
564 hydrodynamic model (without waves) and the coupled wave-current model of Baixada Santista  
565 shows that waves have significant effects on the transport and fate of outfall solid particles

566 If waves are not considered, the model simulates a continuous deposition process that, in  
567 the long term, results in unrealistic sediment deposits (about 20 cm/year for the Santos outfall).  
568 It was found that events of wave-induced sediment resuspension can occur in the vicinity of the  
569 outfall diffusers, even during the austral summer (January 2019), when waves are less energetic.  
570 In other seasons, waves are generally strong enough to hinder deposition and to remobilize

571 sediment most of the time; for example, in months of average wave action and during the winter  
572 (March and July 2019, respectively). When considering wave-current interaction, after a month of  
573 simulation, bed sediment deposits were up to 55% thinner under mild wave conditions and up to  
574 90% thinner under strong waves.

575         The action of waves causes sediment to be dispersed over larger extents. If waves are not  
576 included in the model, outfall sediments tend to settle within 1 km to 2 km from the diffusers.  
577 However, with wave-induced resuspension, the reentrained sediment is transported further,  
578 reaching beaches and channels and eventually settling there. Furthermore, under mean and  
579 strong wave conditions, it was found that resuspended sediment can be transported westward  
580 over greater distances by wave-induced longshore currents. This affects the overall temporal  
581 distribution of sediment concentration in the water column in a way that relatively higher  
582 concentrations are more persistent over time.

583         The observed events of sediment resuspension respond to an increase in bed shear  
584 stresses due to wave-current interaction. At the depth of the diffusers, wave radiation stresses are  
585 not able to significantly intensify currents, but on average waves are large enough to produce  
586 elevated near-bed orbital velocities. The elliptical orbital motion of waves in the area can stir up  
587 bed sediments and reentrain them in the water column as a result from a non-linear interaction  
588 between current and wave bed boundary layers. These findings were found to be consistent with  
589 linear wave theory.

590         The present study was not aimed to accurately quantify outfall sediment deposition nor  
591 to assess the environmental impacts of these sediments. However, results provide  
592 phenomenological insights that may serve as a baseline for future studies on the matter. In order  
593 to evaluate potential impacts, it is necessary to perform detailed simulations of the sediment  
594 transport in the beaches and channels and accurately estimate sediment deposition. Since  
595 sediment transport is a complex process, especially for fine and silt-sized sediments such as those  
596 found in the effluents, a more detailed model implementation could be beneficial. However, this  
597 would require additional laboratory analyses to determine settling velocity, salinity-induced

598 sediment flocculation and empirical parameters for sedimentation and erosion, as implemented  
599 in Delft3D (Deltares 2020a). Additionally, a coupled water-sediment quality model could be  
600 implemented to study the interaction of wastewater pollutants with sediment particles. For  
601 example, taking into account sediment-attached fecal bacteria as a source or sink of bacteria  
602 concentration for the water column (e.g., Gao, Falconer, and Lin 2013). This must be paired with  
603 sediment tracer studies (e.g., Pearson et al. 2021) to calibrate and validate the outfall sediment  
604 transport model. This would allow to assess actual environmental concerns.

605         The effects of strong extreme waves generated by meteorological events such as cold  
606 fronts and storms must be investigated because they have a high potential for outfall sediment  
607 resuspension. Storm systems can produce waves with very long periods that can easily resuspend  
608 sediments at water depths that are normally under a deep-water wave regime. In fact, storm-  
609 induced waves can stir up fine sediments at depths of up to 40 m (Roberts et al. 2010).  
610 Furthermore, efforts could be done in integrating models of near-field sediment deposition from  
611 marine outfall jets (e.g., M. J. Neves and Fernando 1995; Bleninger and Carmer 2000; Lane-Serff  
612 and Moran 2005; Cuthbertson et al. 2008; Terfous, Chiban, and Ghenaïm 2016) to coupled near-  
613 far-field modelling systems (e.g., Bleninger 2006; Morelissen, van der Kaaij, and Bleninger 2013;  
614 Horita et al. 2019). This would allow for a very detailed simulation of the non-linear interaction  
615 between currents, waves, sediment and outfall jets/plumes.

616         It is suggested that future studies consider the potential effects of surface waves on the  
617 design and operational conditions of submerged sewage outfalls. In particular, for outfalls that  
618 discharge in relatively shallow waters, the local wave climate must be analyzed to assess the  
619 potential for sediment resuspension. The results of coupled wave-current far-field models of  
620 outfall effluents can allow for understanding the fate of sediment-attached contaminants and  
621 identifying areas of potential environmental concern under differing current and future scenarios.

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### 630 **Disclosure statement**

631 The authors report there are no competing interests to declare.

### 632 **Data availability statement**

633 Data will be made available on request.

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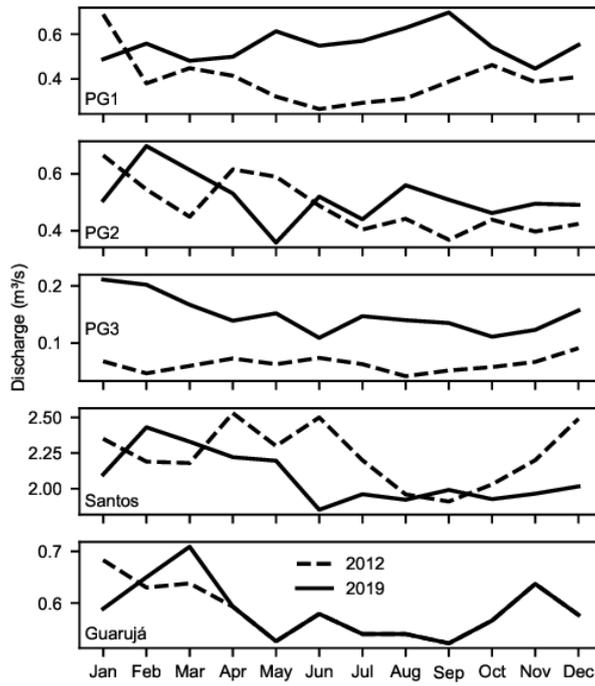


Figure A1: Average monthly discharges of the outfalls.

Table A1: Mean annual flows (m<sup>3</sup>/s) for freshwater point discharges.

Label	2012	2019
PT-01	0.25	0.25
PT-02	0.50	0.52
PT-03	0.62	0.65
PT-04	0.62	0.65
PT-05	0.65	0.68
PT-06	0.37	0.39
PT-07	0.46	0.48
PT-08	0.41	0.40
PT-09	0.17	0.17
PT-10	0.53	0.51
PT-11	0.63	0.61
PT-12	1.31	1.27
PT-13	0.40	0.42
PT-14	1.98	2.07
PT-15	2.83	2.95
PT-16	9.71	10.15
PT-17	9.09	9.49
PT-18	9.36	9.08
PT-19	4.13	4.01
PT-20	1.57	1.53
PT-21	0.15	0.15
PT-22	1.63	1.58
PT-23	1.65	1.60
PT-24	0.80	0.78
PT-25	1.74	1.69
PT-26	1.33	1.29
PT-27	25.26	24.52

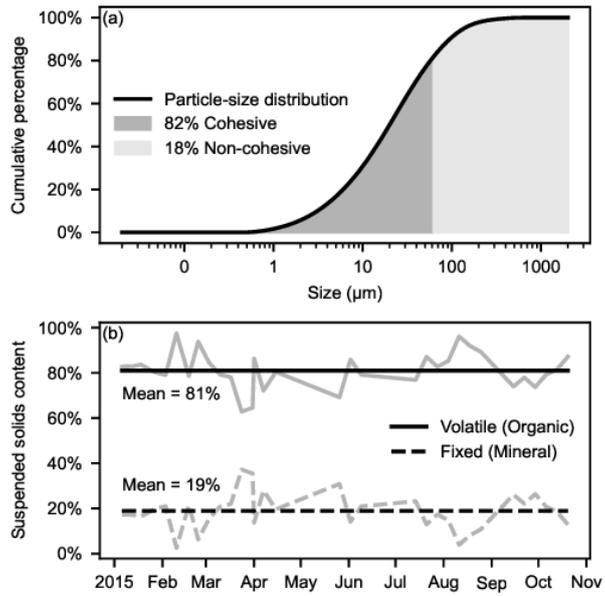


Figure A2: Granulometry (a) and composition (b) of effluent solids from the Santos treatment plant (Consórcio Partner/TetraTech 2017).

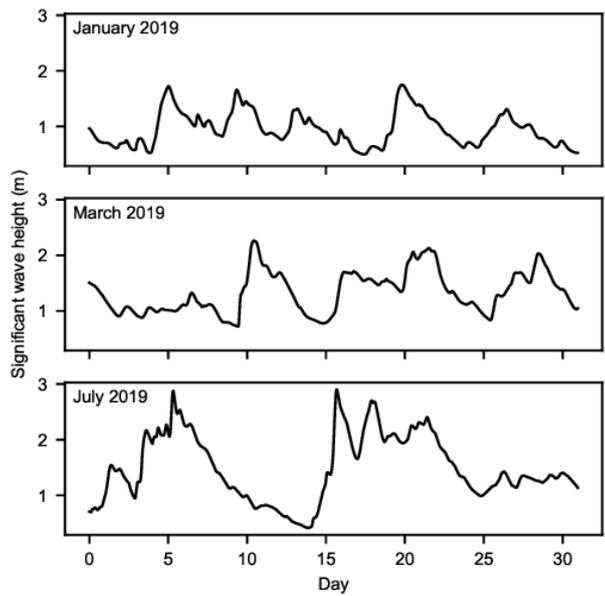


Figure A3: Time series of significant wave height from the western CAWCR node (24.4°S, 46.4°W).

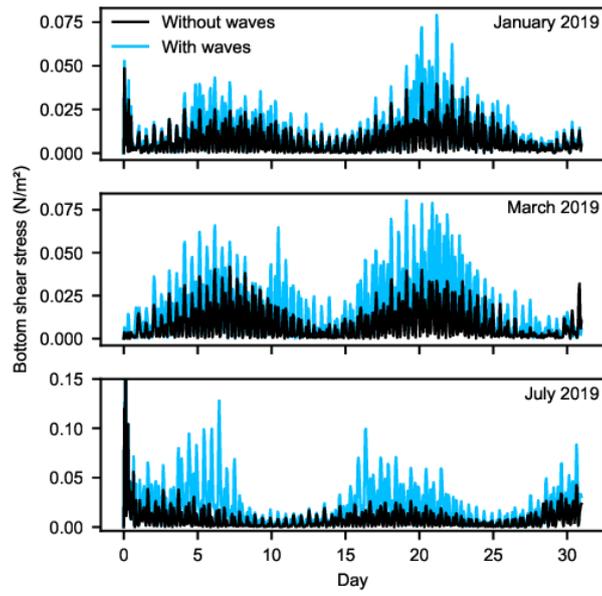


Figure A4: Modeled bed shear stress in the vicinity of the Santos outfall diffuser.